

Form PTO-1449

Docket Number (Optional)
4075USApplication Number
09/332,803INFORMATION DISCLOSURE CITATION
IN AN APPLICATIONApplicant **V gels t al.**Filing Date **June 14, 1999**Group Art Unit ~~To be~~
assigned **1634**

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
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| | | | | | | YES | NO |
| <i>02</i> | WO 97/00326 | 01/03/97 | PCT | | | | |
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OTHER DOCUMENTS

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EXAMINER

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*David S. S. S.**1/24/01*

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|-----------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------------------------------|
| Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | Docket Number (Optional) 4075US | Application Number To be assigned 09/332803 |
| | Applicant Ronald Vogels; Abraham Bout | |
| | Filing Date June 14, 1999 | Group Art Unit To be assigned 1636 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| <i>RL</i> | 5,378,618 | 01/03/95 | Sternberg et al. | X | X | X |
| <i>RL</i> | 5,545,522 | 08/13/96 | Van Gelder et al. | | | |
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| | | | | | | YES | NO |
| <i>RL</i> | WO 94/12649 | 06/09/94 | PCT | — | — | X | |
| <i>RL</i> | WO 94/23582 | 10/27/94 | PCT | — | — | X | |
| <i>RL</i> | WO 94/26914 | 11/24/94 | PCT | — | — | X | |
| <i>RL</i> | WO 94/28152 | 12/08/94 | PCT | — | — | X | |
| <i>RL</i> | WO 95/00655 | 01/05/95 | PCT | — | — | X | |
| <i>RL</i> | 95201611.1 | 06/15/95 | EP | — | — | X | |
| <i>RL</i> | WO 95/02697 | 01/26/95 | PCT | — | — | X | |
| <i>RL</i> | 95201728.3 | 06/26/95 | EP | — | — | X | |
| <i>RL</i> | WO 95/27071 | 10/12/95 | PCT | — | — | X | |
| <i>RL</i> | WO 96/16676 | 06/06/96 | PCT | — | — | X | |

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| <i>RL</i> | Amalfitano et al., "Improved adenovirus packaging cell lines to support the growth of replication-defective gene-delivery vectors", <u>Proc. Natl. Acad. Sci. USA</u> , 93:3352-3356, April 1996. |
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| | | | | | | YES | NO |
| <i>PC</i> | WO 96/18418 | 06/20/96 | PCT | — | — | X | |
| <i>CC</i> | WO 96/33280 | 10/24/96 | PCT | — | — | X | |
| <i>CC</i> | WO 96/40955 | 12/19/96 | PCT | — | — | X | |
| <i>CC</i> | WO 97/00326 | 01/03/97 | PCT | — | — | X | |
| <i>PC</i> | WO 97/00947 | 01/09/97 | PCT | — | — | X | |
| <i>CC</i> | WO 97/04119 | 02/06/97 | PCT | — | — | X | |
| <i>CC</i> | WO 97/05255 | 02/13/97 | PCT | — | — | X | |

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| <i>CC</i> | Brough et al., "Stable Cell Lines for Complementation of Adenovirus Early Regions E1, E2A and E4; Abstract Book CSH Conference On Gene Therapy, 42, 1996. |
| <i>CC</i> | Brough et al., "Construction, Characterization, and Utilization of Cell Lines Which Inducibly Express the Adenovirus DNA-Binding Protein", <u>Virology</u> , 190:624-634, 1992. |
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| <i>CC</i> | Fallaux et al., "Characterization of 911: A New Helper Cell Line for the Titration and Propagation of Early Region 1-Deleted Adenoviral Vectors", <u>Human Gene Therapy</u> , 7:215-222, 1996. |
| <i>CC</i> | Fallaux et al., "New Helper Cells and Matched Early Region 1-Deleted Adenovirus Vectors Prevent Generation of Replication-Competent Adeoviruses", <u>Human Gene Therapy</u> , 9:1909-1917, September 1, 1998. |
| <i>CC</i> | Fisher et al., "Recombinant Adenovirus Deleted of All Viral Genes for Gene Therapy of Cystic Fibrosis", <u>Virology</u> , 217:11-22, 1996. |
| <i>CC</i> | Gao et al., "Biology of Adenovirus Vectors with E1 and E4 Deletions for Liver-Directed Gene Therapy", <u>Journal of Virology</u> , 70(12):8934-8943, December 1996. |

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| EXAMINER <i>David J. Jupp</i> | DATE CONSIDERED <i>1/26/01</i> |
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FOREIGN PATENT DOCUMENTS

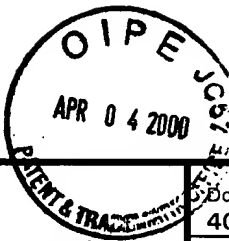
| DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
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| <i>JK</i> | Gorziglia et al., "Elimination of both E1 and E2a from Adenovirus Vectors Further Improves Prospects for In Vivo Human Gene Therapy", <u>Journal of Virology</u> , 70(6):4173-4178, June 1996. |
| <i>JK</i> | Haddada et al., "Adenoviral Interleukin-2 Gene Transfer into P815 Tumor Cells Abrogates Tumorigenicity and Induces Antitumoral Immunity in Mice", <u>Human Gene Therapy</u> , 4:703-711, 1993. |
| <i>JK</i> | Hardy et al., "Construction of Adenovirus Vectors through Cre-lox Recombination", <u>Journal of Virology</u> , 71(3):1842-1849, March 1997. |
| <i>JK</i> | Hehir et al., "Molecular Characterization of Replication-Competent Variants of Adenovirus Vectors and Genome Modifications To Prevent Their Occurrence", <u>Journal of Virology</u> , 70(12):8459-8467, December 1996. |
| <i>JK</i> | Imler et al., "Novel complementation cell lines derived from human lung carcinoma A549 cells support the growth of E1-deleted adenovirus vectors", <u>Gene Therapy</u> , 3:75-84, 1996. |
| <i>JK</i> | Kornberg, Arthur, "DNA Replication", W.H. Freeman and Company, San Francisco, 8 pages. |
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| <i>JK</i> | Ngo et al., "Computational Complexity, Protein Structure Prediction, and the Levinthal Paradox", <u>The Protein Folding Problem and Tertiary Structure Prediction</u> , 5 pages. |
| <i>JK</i> | Sabatie et al., "Process Development for the Production of Second Generation Adenovirus Vectors for Gene Transfer in Clinical Protocols", Abstract Book 14th Meeting on Animal Cell Technology, BI-3, 1996. |
| <i>JK</i> | Schaack et al., "Adenovirus Type 5 Precursor Terminal Protein-Expressing 293 and HeLa Cell Lines", <u>Journal of Virology</u> , 69(7):4079-4085, July 1995. |
| <i>JK</i> | Vanhaesebroeck et al., <u>Virology</u> , 176(2), pp. 362-368, June 1990. |
| <i>JK</i> | Vincent et al., "Herpes Simplex Virus Thymidine Kinase Gene Therapy for Rat Malignant Brain Tumors", <u>Human Gene Therapy</u> 7:197-205, January 20, 1996. |
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| <i>JK</i> | Wang et al., "A packaging cell line for propagation of recombinant adenovirus vectors containing two lethal gene-region deletions", <u>Gene Therapy</u> , 2:775-783, 1995. |
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Sheet 1 of 1

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|------------------|-----------------|----------|-----------------------|---------------------------------------------------------------------------------------------------------------------------|----------|----------------------------|
| <i>JK</i> | 4,405,712 | 09/20/83 | Vande Woude et al. | <div style="writing-mode: vertical-rl; transform: rotate(180deg);">RECEIVED APR 10 1999 TO 1700 MAIL ROOM 4</div> | | |
| <i>JK</i> | 4,497,796 | 02/05/85 | Salser et al. | | | |
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| <i>JZ</i> | 4,740,463 | 04/26/88 | Weinberg et al. | | | |
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| <i>JK</i> | 5,208,149 | 05/04/93 | Inouye | | | |
| <i>JK</i> | 5,518,913 | 05/21/96 | Massie et al. | | | |
| <i>JK</i> | 5,837,511 | 11/17/98 | Falck-Pedersen et al. | | | |
| <i>JK</i> | 5,994,106 | 11/30/99 | Kovesdi et al. | | | |
| <i>JK</i> | 5,994,128 | 11/30/99 | Fallaux et al. | | | |
| <i>JK</i> | 6,033,908 | 03/07/00 | Bout et al. | | | |
| <i>JK</i> | 6,040,174 | 03/21/00 | Imler et al. | | | |

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| | | | | | | YES | NO |
| <i>JK</i> | 2,053,187 | 04/11/93 | Canada | — | — | | |
| <i>JK</i> | WO 94/28152 | 12/08/94 | PCT | — | — | | |
| <i>JK</i> | 2,007,664 | 01/20/95 | France | — | — | | |
| <i>JK</i> | WO 95/02697 | 01/26/95 | PCT | — | — | | |
| <i>JK</i> | CA 2117668 | 09/10/95 | Canada | — | — | | |
| <i>JK</i> | AU-A-28533/95 | 03/21/96 | Australia | — | — | | |
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* No English translation or English abstract or explanation of relevance of the reference.